

**INFORMATION DISCLOSURE  
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

4398-303

10/700,252

**APPLICANT**

KWOK et al.

FILING DATE

TC/A.U.

November 4, 2003

3743



(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent pages, etc.)

[illegible]

**\*Examiner**

Nihar Patel

Date Considered

06. <sup>10</sup>~~09~~.05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.







FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office

Atty. Dkt. No.	M#  4398-303	Client Ref.
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: Kwok et al.	
Appln. No.: NewContinuation of USSN 10/264,325	
Filing Date: November 4, 2003	
Examiner: G. Dawson	Group Art Unit: 3761

Date: November 4, 2003 Page 1 of 12

**U.S. PATENT DOCUMENTS**

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
NP	1R	5,220,699	06/1993	FARRIS			
	2R	4,919,128	04/1990	KOPALA et al.			
	3R	6,119,693	09/2000	KWOK et al.			
	4R	6,443,355	06/2001	GUNARATNAM et al.			
	5R	6,463,931	10/2002	KWOK et al.			
NP	6R	6,520,182	02/2003	GUNARATNAM			
	7R						
	8R						
	9R						
	10R						
	11R						
	12R						
	13R						
	14R						
	15R						
	16R						
	17R						

**FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS							Abstract		Readily Available	
		Document Number	Date MM/YYYY	Country	Inventor Name		Enclosed	No	Enclos	No
NP	18R	WO 98/24499	06/1998	PCT	KWOK					
	19R	WO 00/57942	10/2000	PCT	Gunaratnam					
	20R	2 186 801	08/1987	Great Britain						
NP	21R	11-000397	01/1999	Japan						

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

NP	22R	Product Brochure for "Sullivan Mirage" Face Mask, ©1997, ResMed, 4 pages			
	23R	Product Brochure for "Sullivan Mirage" Face Mask, ©1998, ResMed, 4, pages			
	24R	Color Photo of "Weinmann Mask" 1998, 1 page			
	25R	"The ResMed Range of Mask Systems" product brochure, November 1995, 4 pages.			
	26R	"Somnotron CPAP-Gerat WM 2300" instruction manual, Weinmann Hamburg (1991), 11 pages.			
NP	27R	9 photographs of Weinmann mask, WM 23122, 1991.			

Examiner: <i>Nikhil Patel</i>	Date Considered: 06-10-05
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NP	28R	1,926,027	09/1933	BIGGS		
	29R	2,123,353	07/1938	CATT		
	30R	2,248,477	07/1941	LOMBARD		
	31R	2,254,854	09/1941	O'CONNELL		
	32R	2,371,965	03/1945	LEHMBERG		
	33R	2,438,058	03/1948	KINCHELOE		
	34R	2,931,356	04/1960	SCHWARZ		
	35R	Des. 188,084	05/1960	GARELICK		
	36R	2,939,458	06/1960	LUNDQUIST		
	37R	3,189,027	06/1965	BARTLETT		
	38R	3,238,943	03/1966	HOLLEY		
	39R	3,315,674	04/1967	BLOOM et al.		
	40R	3,330,273	07/1967	BENNETT		
	41R	3,362,420	01/1968	BLACKBURN et al.		
	42R	3,363,833	01/1968	LAERDAL		
	43R	3,556,122	01/1971	LAERDAL		
	44R	3,700,000	10/1972	HESSE, Deceased et al.		
	45R	3,796,216	03/1974	SCHWARZ		
	46R	Des. 231,803	06/1974	HUDDY		
	47R	4,077,404	03/1978	ELAM		
	48R	Des. 250,131	10/1978	LEWIS et al.		
	49R	4,167,185	09/1979	LEWIS		
NP	50R	4,226,234	10/1980	GUNDERSON		

**FOREIGN PATENT DOCUMENTS**

Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed	No
51R				Enclose	No

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.):**

NP	52R	Mask 1 Photographs, Respironics Inc., Reusable Full Mask (small) Part #452033 Lot #951108.
	53R	Mask 2 Photographs, Puritan - Bennett, Adam Curcuit, Shell Part #231700, Swivel Part #616329-00, Pillows (medium) Part #616324.
	54R	Mask 3 Photographs, DeVilbiss Healthcare Inc., Devilbiss Seal-Ring and CPAP Mask Kit (medium), Part #73510-669.
NP	55R	Mask 4 Photographs, Respironics Inc., Monarch Mini Mask with Pressure Port. Part #572004, Monarch Headgear, Part #572011.

Examiner

*[Signature]*

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06-10-05

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NP	56R 4,245,632	01/1981	HOUSTON			
	57R 4,304,229	12/1981	CURTIN			
	58R Des. 262,322	12/1981	MIZERAK			
	59R 4,328,797	05/1982	ROLLINS et al.			
	60R 4,347,205	08/1982	STEWART			
	61R 4,354,488	10/1982	BARTOS			
	62R 4,402,316	09/06/83	GADBERRY			
	63R 4,412,537	11/01/83	TIGER			
	64R 4,522,639	06/11/85	ANSITE, et al.			
	65R 4,622,964	11/18/86	FLYNN			
	66R 4,655,213	04/07/87	RAPOPORT et al.			
	67R 4,665,570	05/19/87	DAVIS			
	68R 4,671,271	06/09/87	BISHOP, et al.			
	69R 4,677,977	07/07/87	WILCOX			
	70R Des. 293,613	01/05/88	WINGLER			
	71R 4,770,169	09/13/88	SCHMOEGNER et al.			
	72R 4,774,941	10/04/88	COOK			
	73R 4,782,832	11/08/88	TRIMBLE et al.			
	74R 4,821,713	04/18/89	BAUMAN			
	75R 4,841,953	06/27/89	DODRILL			
	76R 4,848,334	07/18/89	BELLM			
	77R 4,848,366	07/18/89	AITA, et al.			
NP	78R 4,907,584	03/13/90	MCGINNIS			

**FOREIGN PATENT DOCUMENTS**

Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed	No
79R				Enclose	No

**OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

NP	80R	Mask 5 Photographs, Healthdyne Technologies, Nasal CPAP Mask (medium narrow), Part #702510.
	81R	Mask 6 Photographs, Healthdyne Technologies, Soft Series Nasal CPAP Mask, Part #702020.
	82R	Mask 7 Photographs, DeVilbiss Healthcare Inc., Small Mask and Seal Rings, Part #73510-668.
NP	83R	Mask 8 Photographs, Respironics Inc., Reusable Contour Mask (medium), Part #302180.

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Exami- ner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
NP	84R Des. 310,431	09/04/90	BELLM			
	85R 4,971,051	11/20/90	TOFFOLON			
	86R 4,989,599	02/05/91	CARTER			
	87R 5,005,571	04/09/91	DIETZ			
	88R 5,005,568	04/09/91	LOESCHER, et al.			
	89R 5,038,776	08/13/91	HARRISON et al.			
	90R 5,042,473	08/27/91	LEWIS			
	91R 5,042,478	08/27/91	KOPALA, et al.			
	92R 5,109,840	05/05/92	DALEIDEN			
	93R 5,133,347	07/28/92	HUENNEBECK			
	94R 5,140,982	08/25/92	BAUMAN			
	95R Des. 334,633	04/06/93	RUDOLPH			
	96R 5,243,971	09/14/93	SULLIVAN et al.			
	97R 5,265,595	11/30/93	RUDOLPH			
	98R 5,279,289	01/18/94	KIRK			
	99R 5,343,878	09/06/94	SCARBERRY et al.			
	100R 5,357,951	10/25/94	RATNER			
	101R 5,419,318	05/30/95	TAYEBI			
	102R 5,429,683	07/04/95	LE MITOUARD			
	103R 5,431,158	07/11/95	TIROTTA			
	104R 5,438,981	08/08/95	STARR et al.			
NP	105R 5,441,046	08/15/95	STARR et al.			

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Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
				Enclosed No	Enclose No
106R					

## OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

NP	107R	Mask 9 Photographs, Healthdyne Technologies, Healthdyne Large Headgear.
	108R	Mask 10 Photographs, Respironics Inc., Soft Cap (medium), Part #302142.
	109R	Mask 11 Photographs, Weinmann; Hamburg, Nasalmaskensystem mit Schalldämpfer (medium), Part #WN 23105.
NP	110R	Mask 12 Photographs, Life Care.

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Exami ner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
N	111R	Des. 362,061	09/05/95	MCGINNIS et al.			
	112R	5,477,852	12/26/95	LANDIS et al.			
	113R	5,488,948	02/06/96	DUBRUILLE, et al.			
	114R	5,492,116	02/20/96	SCARBERRY et al.			
	115R	5,501,214	03/26/96	SABO			
	116R	5,517,986	05/21/96	STARR et al.			
	117R	5,540,223	07/30/96	STARR et al.			
	118R	5,560,354	10/01/96	BERTHON-JONES et al.			
	119R	5,570,689	11/05/96	STARR et al.			
	120R	Des. 377,089	12/31/96	STARR et al.			
	121R	5,592,938	01/14/97	SCARBERRY et al.			
	122R	5,647,355	07/15/97	STARR et al.			
	123R	5,647,357	07/15/97	BARNETT et al.			
	124R	5,655,527	08/12/97	SCARBERRY et al.			
	125R	5,657,752	08/19/97	LANDIS et al.			
	126R	5,662,101	09/02/97	OGDEN et al.			
	127R	5,685,296	11/11/97	ZDROJKOWSKI, et al.			
	128R	5,687,715	11/18/97	LANDIS, et al.			
N	129R	5,715,814	02/10/98	EBERS			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
							Enclosed	No	Enclose	No
N	130R	WO 80/01044	05/29/80	PCT						
	131R	DD 159396	06/04/81	GERMANY						
	132R	DE 3345067 A1	06/20/84	GERMANY						
	133R	GB 2145335 A	03/27/85	GREAT BRITAIN						
	134R	GB 2147506 A	05/15/85	GREAT BRITAIN						
	135R	EP 0 252 052 A1	01/07/88	EUROPE						
N	136R	EP 0 264 772 A1	04/27/88	EUROPE						

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

IP	137R	Mask 13 Photographs, Healthdyne Technologies.
I	138R	Mask 14 Photographs, King System.
I	139R	Mask 15 Photographs, Respironics Inc., Pediatric Mask.
N	140R	Mask 16 Photographs, Hans Rudolph Inc., Hans Rudolph Silicone Rubber Face Mask/8900.

Examiner

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Examiner's Initials*		Document Number	Date MM/YYYY Y	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
MP	141R	2,317,608	04/1943	HEIDBRINK			
	142R	3,013,556	12/1961	GALLEHER			
	143R	3,580,051	05/1971	BLEVINS			
	144R	4,467,799	08/1984	STEINBERG			
	145R	4,616,647	10/1986	McCREADIE			
	146R	4,809,692	03/1989	NOWACKI et al.			
	147R	4,910,806	03/1990	BAKER et al.			
	148R	5,046,200	09/1991	FEDER			
	149R	5,069,205	12/1991	URSO			
	150R	5,121,745	06/1992	ISRAEL			
	151R	5,429,126	07/1995	BRACKEN			
	152R	5,542,128	08/1996	LOMAS			
	153R	5,570,682	11/1996	JOHNSON			
	154R	5,666,946	09/1997	LANGENBACK			
MP	155R	5,746,201	05/1998	KIDD			

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		Document Number	Date MM/YYYY Y	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
MP	156R	DE 4004157 C1	04/11/91	GERMANY					
	157R	EP0427474 A2	05/15/91	EUROPEAN					
	158R	AU 91/77110 B	11/21/91	AUSTRALIA					
	159R	EP 0 462 701 A1	12/27/91	EUROPE					
	160R	WO 92/20395	11/26/92	PCT					
	161R	WO 93/01854	02/04/93	PCT					
	162R	WO 94/02190	02/03/94	PCT					
	163R	AU 94/64816 B	12/22/94	AUSTRALIA					
	164R	WO 96/17643	06/13/96	PCT					
	165R	EP 0 747 078 A2	12/11/96	EUROPE					
	166R	WO 96/39206	12/12/96	PCT					
	167R	WO 97/07847	03/06/97	PCT					
MP	168R	JP 09/216240 A	08/19/97	JAPAN					

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
ND	169R	DE 459, 104	04/1928	GERMANY					
	170R	1039144	09/1928	CANADIAN					
	171R	1,395,391	05/1975	GREAT BRITAIN					
	172R	GB 1 467 828	03/1977	GREAT BRITAIN					
	173R	EP 0 054 154	10/1981	EUROPEAN					
	174R	DE 3015279 A1	10/1981	GERMANY					
	175R	GB 2 164 569A	03/1986	GREAT BRITAIN					
	176R	FR 2 574 657 A1	06/1986	FRANCE					
	177R	WO 86/06969	12/1986	PCT					
	178R	WO 87/01950	04/1987	PCT					
	179R	DE 3537507	04/23/87	GERMANY					
	180R	DE 3539073 A1	05/14/87	GERMANY					
	181R	EP 0 386 605 A1	02/28/90	EUROPEAN					
	182R	WO 91/03277	03/21/91	PCT					
	183R	FR 2 658 725 A1	08/31/91	FRANCE					
	184R	WO 92/15353	09/17/92	PCT					
	185R	EP 0 602 424	11/25/93	EUROPEAN					
	186R	GB 2 267 648 A	12/15/93	GREAT BRITAIN					
	187R	EP 0 608 684 A1	08/03/94	EUROPEAN					
	188R	WO 97/41911	11/13/97	PCT					
MP	189R	WO 94/16759	08/04/94	PCT					

OTHER: (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

190R				
191R				
192R				
193R				

Examiner

*John P.*

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MP	194R	781,516	01/31/05	GUTHRIE			
	195R	812,706	02/13/06	WARBASSE			
	196R	1,081,745	12/16/13	JOHNSTON ET AL.			
	197R	1,192,186	07/25/16	GREENE			
	198R	1,653,572	12/20/27	JACKSON			
	199R	2,376,671	05/24/45	FINK			
	200R	2,415,846	02/18/47	RANDALL			
	201R	2,578,621	12/11/51	YANT			
	202R	3,720,235	03/13/73	SCHROCK			
	203R	3,779,164	03/26/74	ROLLINS			
MP	204R	4,558,710	12/17/85	EICHLER			
	205R						
	206R						
	207R						
	208R						

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						Enclosed	No	Enclose	No
MP	209R	WO 94/20051	09/15/94	PCT					
	210R	EP 0 0697 225	07/13/95	EUROPEAN					
	211R	AU A 32914/95	02/15/96	AUSTRALIA					
	212R	EP 178 925 A2	04/23/96	EUROPEAN					
	213R	WO 96/25983	08/29/96	PCT					
	214R	FR 2 749 176	12/05/97	FRANCE					
	215R	DE 197 35 359	01/29/98	GERMANY					
	216R	EP 0 821 978	02/04/98	EUROPEAN					
	217R	WO 98/04310	02/05/98	PCT					
	218R	WO 98/11930	03/26/98	PCT					

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

Examiner *N.A. [Signature]*

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MP	220R	4,677,975	07/07/87	EDGAR ET AL.			
	221R	4,739,755	04/26/88	WHITE ET AL.			
	222R	4,799,477	01/24/89	LEWIS			
	223R	4,819,629	04/11/89	JONSON			
	224R	4,938,210	07/30/90	SHENE			
	225R	4,938,212	07/03/90	SNOOK ET AL.			
	226R	4,944,310	07/31/90	SULLIVAN			
	227R	4,986,269	01/22/91	HAKKINEN			
	228R	5,063,922	11/12/91	HAKKINEN			
MP	229R	Des. 323,908	02/11/92	HOLLISTER ET AL.			
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		Document Number	Date MM/YYYY Y	Country	Inventor Name		Abstract		Readily Available	
							Enclosed	No	Enclose	No
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	235R	WO 98/18514	05/07/98	PCT						
	236R	WO 98/26829	06/25/98	PCT						
	237R	WO 98/26830	06/25/98	PCT						
	238R	DE 297 23 101	07/09/98	GERMANY						
	239R	WO 95/02428	01/26/95	PCT						
	240R	DE 4343205 A1	06/22/95	Germany						
	241R	AU 95/16178 B	07/13/95	Australia						
MP	242R	AU 9459430	02/29/96	Australia						
	243R									

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244R	
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Examiner: *[Signature]* Date Considered: 06.10.05

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PM&S FORM PAT-1449)  
Patent and Trademark Office

Atty. Dkt. No.	M#  4398-303	Client Ref.
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant:	KWOK et al
Appl. No.:	New Continuation of USSN 10/264,326
Filing Date:	November 4, 2003
Examiner:	G.K. Dawson
Group Art Unit:	3761

Date: November 4, 2003 Page 10 Of 12

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Examiner's Initials*	Document Number	Date MM/YYYY Y	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
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	246R	5,159,938	11/03/92 LAUGHLIN			
	247R	5,178,138	01/12/93 WALSTROM ET AL.			
	248R	5,231,983	08/03/93 MATSON ET AL.			
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262R					
263R					
264R					
265R					
266R					
267R					
268R					
269R					

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

270R				
Examiner	<i>[Signature]</i>	Date Considered:	06-10-05	

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4398-303

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Applicant: KWOK et al

Appln. No.: New Continuation of USSN 10/264,326

Filing Date: November 4, 2003

Date: November 4, 2003

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Examiner: G.K. Dawson Group Art Unit: 3761

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	272R	5,560,354	10/01/96	BERTHON-JONES ET AL.			
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	287R	WO 82/03548	10/28/82	SULLIVAN			
	288R	DE 298 10846 U1	08/20/98	GERMANY			
	289R	WO 98/48878	11/05/98	PCT			
MP	290R	AU A 89312/98	01/07/99	AUSTRALIAN			

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	291R	
	292R	

Examiner

*[Signature]*

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Examiner: G.K. Dawson

Group Art Unit: 3761

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	307R					
	308R					

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FOREIGN PATENT DOCUMENTS							Ready Available			
		Document Number	Date MM/YYYY Y	Country	Inventor Name		Enclosed	No	Enclose	No
	309R									
	310R									

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311R	
312R	
313R	
314R	
315R	
316R	

Examiner

*NP*

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